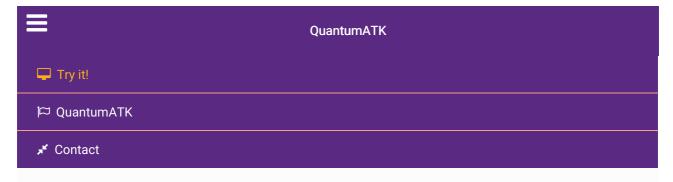
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# Modeling Vacancy Diffusion in Si<sub>0.5</sub> Ge<sub>0.5</sub> with AKMC

Version: 2017

#### **Downloads & Links**

♣ PDF version
 Basic QuantumATK Tutorial
 ATK Reference Manual
 ♣ initial-structure.py
 ♣ adding-akmc.py

In this tutorial, we will model vacancy diffusion in a  $Si_{0.5}$   $Ge_{0.5}$  alloy using the Adaptive Kinetic Monte Carlo method (AKMC). AKMC is an algorithm for performing Kinetic Monte Carlo (KMC) simulations on-the-fly without having to have a predefined set of states and reaction mechanisms. The reaction mechanisms are determined by locating saddle points on the potential energy surface and then using Harmonic Transition State Theory (HTST) to calculate the reaction rate. You can learn more about the AKMC method in this tutorial: Adaptive Kinetic Monte Carlo Simulation of Pt on Pt(100).

#### Note

For typical MD simulations, it is impractical to model more than a couple of hundred nanoseconds. However, by using AKMC, we will be able to model the dynamics of the system on a longer time scale. This is because the KMC simulation describes the dynamics of the system as transitions between states that – once found – can be computed very quickly.

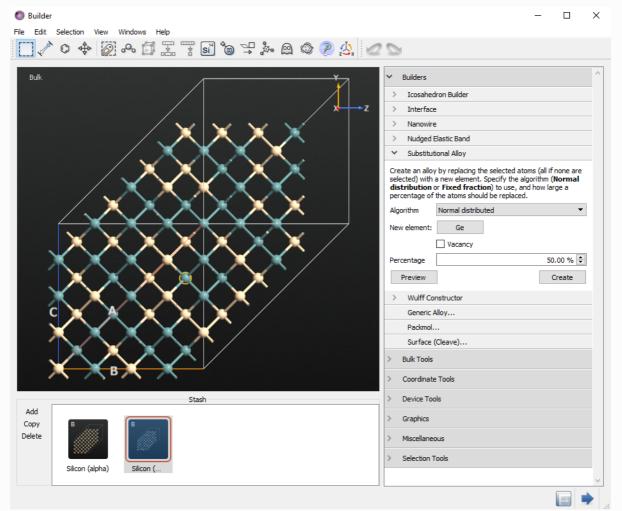


## Obtaining an Initial Structure

In order to generate the initial  $Si_{0.5}$   $Ge_{0.5}$  alloy structure, we will use the Builders  $\triangleright$  Substitutional Alloy in the  $\uprec{N}$  Builder, implemented in the ATK 2015 version. If you have an older version, you can use this script instead:  $\uprec{L}$  initial-structure.py.

- 1. Open the So Builder.
- 2. Using Add ► From Database, add the Silicon (alpha).
- 3. Click the Bulk Tools > Repeat, set A = 4, B = 4, C = 4, and press the Apply button.

- 4. Select the Builders ► Substitutional Alloy.
- 5. Use the default to substitute 50 percent of Si with Ge.
- 6. Click the Create button.



You now see the initial alloy in the main window of the **Builder** and in the **Stash**. It might be different from the one shown here, as the alloy is randomly created. We make one Si vacancy in the initial structure. In this case, we delete one yellowish Si behind blue-colored Ge at the front site. You can make one Si vacancy randomly.

Now we will optimize the initial structure.

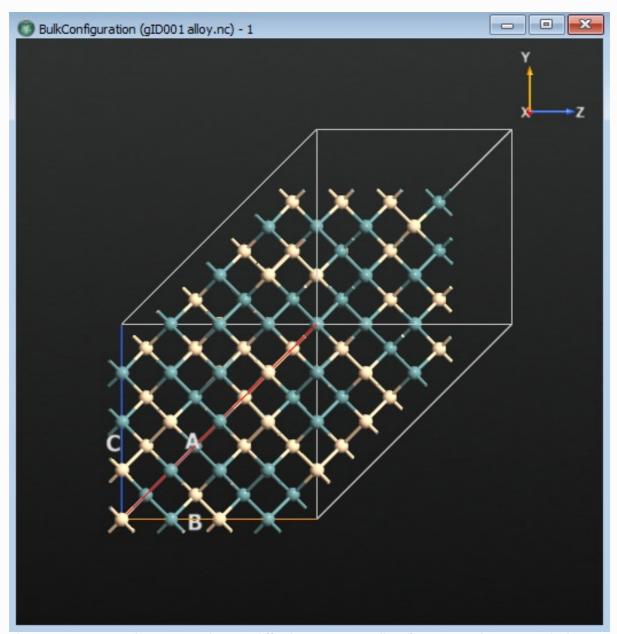
- 1. Send the initial configuration to the **Script Generator** by clicking the **\sqrt{button.}**
- 2. Add iv New Calculator and OptimizeGeometry blocks.
- 3. Change the output name to alloy.nc.
- 4. Double-click the New Calculator block and select the *ATK-Classical* calculator and choose the *StillingerWeber\_SiGe\_1995* Parameter set.
- 5. In the OptimizeGeometry block, set the Force tolerance to 0.01 eV/Å.
- 6. Send the script to the **Job manager** by clicking the **Job manager** by clicking the **Job** button.

You can also download and use the script le initial-structure.py. This script generates a silicon supercell and then randomly replaces Si atoms with Ge atoms in order to achieve a 50:50 ratio and then creates a Si vacancy as shown below.

Run the script either by dragging it from the main QuantumATK window and dropping it on the ... Job Manager, or directly through the terminal.

Now you have generated a 4x4x4 supercell of SiGe with one vacancy, calculated the optimized geometry and saved the structure to alloy.nc. Note that this is a very small system, useful only for illustrative

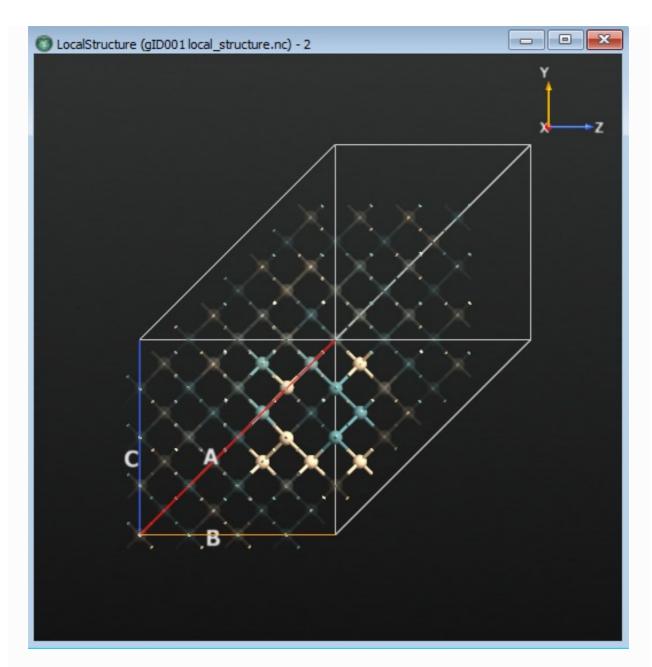
purposes. You will need a larger system for scientifically valid modelling. You can visualize the structure in **QuantumATK** by selecting the *glD0001* object from the alloy in the **LabFloor** and drag and drop it on the **Viewer**. The result is shown below.



There is a vacancy in the structure, but it is difficult to see. You will perform a *LocalStructure* calculation to see which atoms do not have the typical diamond-like packing.

- 1. Drag the *glD0001* object from the **LabFloor** to the **Scripter**.
- 2. In the **Scripter**, double click on Analysis > LocalStructure.
- 3. Choose the default output file to be local structure.nc.
- 4. Send the script to the **Job Manager** using the **Job Manager** using the **Job Manager** using the than a minute.

Now you can get a better look at the structure around the vacancies. From the main window, open the **LocalStructure** item in the **Viewer** and expand the **Local Structure** panel in the sidebar. Select *Diamond-like*. Now all of the atoms that are not near the vacancies, and thus have the ideal crystal structure, have been selected. Open the **Properties** dialog and in the **Atoms** tab and change the opacity to 0.1 for the selected atoms. This will highlight the atoms near the vacancies, by making the others almost transparent. The result is shown in the figure below. Note the obvious vacancy in middle of the cluster.



# Running the AKMC Simulation

Now that you have an optimized initial structure, you can run the AKMC simulation. Drag <code>alloy.nc</code> from the main <code>QuantumATK</code> window to the <code>Script</code> <code>Generator</code>. The <code>ivv</code> <code>New</code> <code>calculator</code> will be automatically loaded in the Scripter. Starting from <code>ATK</code> <code>2017</code>, AdaptiveKineticMonteCarlo is implemented in the <code>Scripter</code>. If you have an older version, you can download the script <code>adding-akmc.py</code> and append the contents in the <code>Editor</code> instead of following the steps below.

Add the Optimization • AdaptiveKineticMonteCarlo block.

- 1. Double click AdaptiveKineticMonteCarlo.
- 2. In the Saddle Search section, set the Number of searches to 100.
- 3. Go to the *Optimization* section, and press the *Atomic Constraint Editor* button.
  - Select one atom as far away as possible from the vacancy
  - Press the Add tag from Selection button
  - Change from None to Fixed for Constraint.
  - Press the OK button.

4. In the Molecular Dynamics part, set the temperature to 1200 K.

#### Note

If you look at the Help... button on the AdaptiveKineticMonteCarlo widget, settings and definitions are introduced in detail. Higher number of searches and higher confidence give us more accuracy, but is also computationally more expensive.

5. Save the output file as akmc.nc.

#### Tip

When you see the AKMC script (either from the Scripter or in dadding-akmc.py), it enables a restart of the simulation, by reusing the existing files if they exist. For a detailed description of the parameters for the AKMC object, please see the tutorial: Adaptive Kinetic Monte Carlo Simulation of Pt on Pt(100).

Now run the script. Running the script should take no more than half an hour on a desktop machine. At the end, you will have a *MarkovChain* object stored inside the <code>akmc\_markov\_chain.nc</code> file as well as a *KineticMonteCarlo* object stored inside the <code>akmc\_kmc.nc</code> file. The *MarkovChain* object stores all of the states (configurations) that were found during the simulation as well as the connectivity between them. It can be used to calculate the full rate matrix for the whole system.

#### Note

Due to the random elements in these methods, you might not have exactly the same results as those analyzed here. If you want to follow the analysis exactly, you can download the files used in creating the tutorial here: & akmc\_kmc.nc, & akmc\_markov\_chain.nc and & akmc\_log.nc

Select the

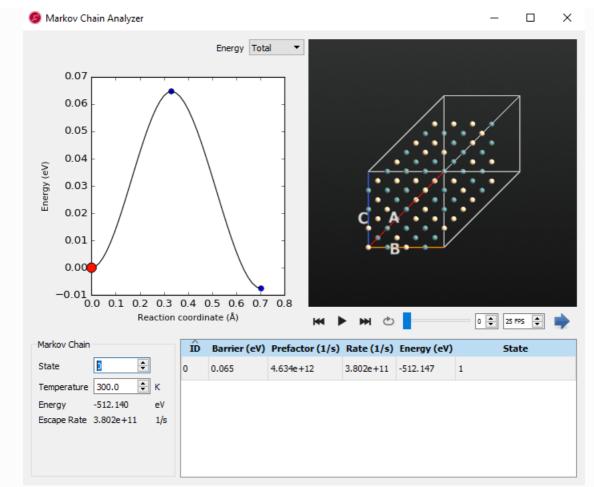


gID000 object in the akmc\_markov\_chain.nc file on the Labfloor, and click the Markov

Chain Analyzer in the right panel.

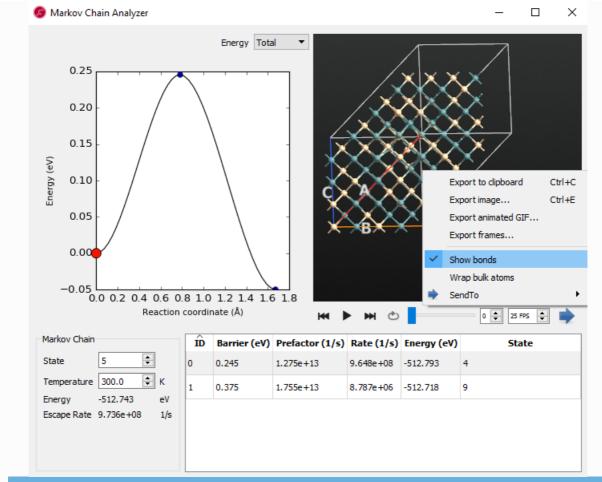
Examine the each state (here, state 0 and state 5 are shown in the below images) and use the play button in the movie tool to see the diffusion and movement of atoms. From state 0, the system has a very low energy barrier of 0.065 eV towards state 1. When you look at the movie, it seems to be a kind of relaxation and not real diffusion.

State 0



From state 5 we have found saddle points that are connected to state 4 and state 9. They have relatively higher barriers than the one leading from state 0. When you see the movie, silicon and germanium are diffusing and exchanged in the vacancy. If you click the right mouse button on the structure window, you can show the bonds. It can be helpful to show the movement of the atoms when going along the energy diagram.

• State 5

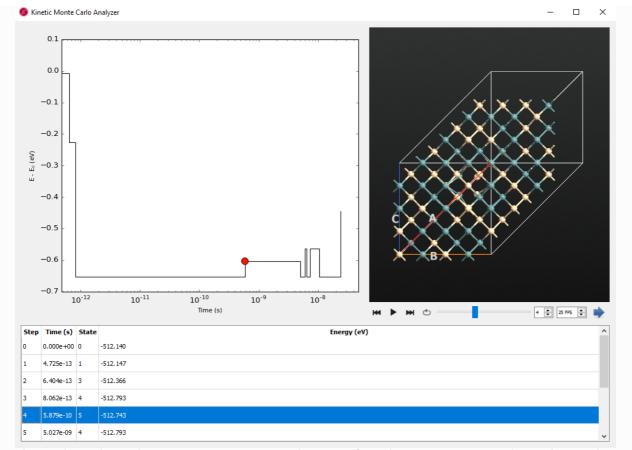


### Note

Remember that you will probably have other results from a randomly generated alloy.

The *Kinetic Monte Carlo* object stores the time and state-to-state trajectory and is also able to take steps along the Markov chain (i.e. KMC steps). Select both objects,  $\sum_i k_i$  in the  $\frac{1}{2}$  in the  $\frac{1}{3}$  in the  $\frac{$ 

the <code>akmc\_markov\_chain.nc</code>, pressing the <code>ctrl</code> key, then see the activated Kinetic Monte Carlo Analyzer... in the right panel. The output should look like, but probably not be identical to, the one seen below:



This analyzer shows the state-to-state trajectory along time from the *Kinetic Monte Carlo* simulation. The KMC step, elapsed time, visited state id and energy are shown in the table. Click the movie button. You see the state to state dynamics with the energy vs. time plot. Since the plot is logarithmic on the time axis, the 0 and 1 steps near zero seconds cannot be seen clearly. You can find the different saddle states and staying time or escape time from each state. Starting from the initial state (0 eV), this plot shows the energy difference for other searched saddle points along the time.

In the LabFloor, click on the  $\sum_i k_i$  object in the  $\underline{\text{akmc\_kmc.nc}}$  and select  $\underline{\text{Text Representation}}$  from the panel on the right.

```
# Item: 0
# File: C:\tutorial\akmc\akmc_kmc.nc
# Title: akmc_kmc.nc - gID000
# Type: KineticMonteCarlo
   Step # Time (seconds) State
      0 0.0000000e+00
       1
          4.72548280e-13
          6.40378862e-13
       3 8.06232998e-13
       4 5.87851546e-10
       5 5.02734297e-09
       6 5.98297032e-09
       7 6.50322348e-09
       8 7.34741500e-09
                           4
       9 1.04551543e-08
       10 2.38590351e-08
     1501 9.97728735e-07
     1502
          9.99898062e-07
          1.00003149e-06
                             3
     1503
     1504 1.00593170e-06
                             4
     1505 1.00605679e-06
                             3
```

It includes the same information which we have seen in the **Kinetic Monte Carlo Analyzer** table. Here we show the results from a much longer simulation, with 500 saddle searches. The first column is the step number for the KMC algorithm, the second column represents the total elapsed time in the KMC simulation and the third column shows the current state number, which corresponds to a particular minimum energy configuration in the *MarkovChain* object. Note the break and how the time crosses 1 microsecond in the bottom text part (  $step #1501 \sim 1505$ ), which is actually from the middle of the file:  $$akmc_kmc_500.nc$ 

#### Note

Do not confuse the KMC step and saddle point number. The saddle points are discovered as they represent the most likely events to be chosen in the KMC simulation. To ensure the accuracy of the simulation, each state in the Markov chain has an associated confidence. This confidence is an estimate of the probability that for each KMC step taken from that state, the correct event was chosen. Saddle searches are executed in each state until a user defined confidence level is reached. Once the confidence level is reached, the KMC simulation will proceed until a state is reached where the confidence is less than the target level.

The output above includes more KMC steps than the 500 saddle searches that was specified in the script. This shows that the KMC algorithm, to some degree, has been jumping back and forth between the same states. However, as AKMC is a stochastic method it is also entirely possible to see the opposite, with the total number of KMC steps being much smaller than the specified number of saddle searches. This behavior is also very system-dependent.

#### Note

Note how the final time is greater than a microsecond. This is a much longer timescale than we could probe using MD alone.

On the **LabFloor** you will also find an akmc\_log object. Open it by clicking on **Text Representation**.

You should see a message equivalent to what is shown below. This shows the results of the 100 saddle searches you specified in the script.

```
# Item: 0
# File: C:\tutorial\akmc\akmc_log.nc
# Title: akmc_log.nc - gID000
# Type: AKMCLog
state id search number confidence message
              0 0.000000 Found new state
                    1 0.864665 Found saddle connecting to state 1 again 2 0.950213 Found saddle connecting to state 1 again
      0
                    3 0.981684 Found saddle connecting to state 1 again
      0
                   4 0.993262 Found saddle connecting to state 1 again
      0
                   5 0.000000 Found new state
      1
                   6 0.000000 Found new state
      1
                   7 0.000000 No barrier was found between the end points of the NEB calculation.
      1
                   8 0.375441 Found saddle connecting to state 3 again
      1
                   9 0.375441 No barrier was found between the end points of the NEB calculation.
      1
                   10 0.375441 No barrier was found between the end points of the NEB calculation.
      1
                  11 0.840168 Found saddle connecting to state 2 again
      1
                   \, 12 \, 0.840168 \, No barrier was found between the end points of the NEB calculation.
      1
                   0.877314 Found saddle connecting to state 3 again
                        0.923293 Found saddle connecting to state 2 again
                   14
                       0.923293 No barrier was found between the end points of the NEB calculation.
      1
                   15
                       0.940208 Found saddle connecting to state 2 again
      1
                   16
                   17 0.940208 No barrier was found between the end points of the NEB calculation.
      1
```

The first column is the *id* of the initial state used for the saddle search, the second column is the *search number* (out of the 100 saddle searches), the third column is the calculated *confidence* that the processes starting in the current basin are adequately sampled and the last column is the result of the search. In this case, the algorithm finds 1 new state and meets the confidence of 99% after 5 saddle searches starting from state 0, then go to state 1 (*id* 1). It finds a total number of 2 new states (states 2 and 3), 17 searches find a duplicate state and 6 searches find no new states for various reasons. The initial state from *id* 0 to *id* 1 has changed, showing that the KMC algorithm has taken a step to a new state, and the saddle searches then continue from there.

### Conclusion

In this tutorial we examined how to perform an AKMC simulation for the diffusion in an alloy with a vacancy at 300K. The AKMC method is useful to search for saddle points near the initial state which are relevant on a microseconds time scale. When you run a longer simulation or search more saddle points, you can model timescales which are comparable to experiments aiming to understand the state-to-state dynamics.

In a real study it is always important to verify the results of a classical potential and re-optimizing the NEB's describing the barriers using DFT, would be a good idea to determine pathways in the system more exactly.

